

#7 2131



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Mark S. Moriconi and Shelly Qian
Appl. No.: 09/767,610
Confirm. No.: 2724
Filed: January 22, 2001
Title: SYSTEM AND METHOD FOR MAINTAINING
SECURITY IN A DISTRIBUTED COMPUTER
NETWORK

PATENT APPLICATION

Art Unit: 2131

Examiner:

Customer No. 23910

RECEIVED

SEP 10 2003

Technology Center 2100

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 4, 2003.

(Attorney Signature)

Karl Kenna, Reg. No. 45,445

Signature Date: September 4, 2003

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted with this communication in connection with the above-identified application are the following:

- ☒ A Power of Attorney by Assignee Under 37 C.F.R. §§3.71, 3.73(b) (1 pg) with attached copy of Assignment document (3 pgs).
- ☒ Information Disclosure Statement Under 37 C.F.R. §1.56 with Form PTO-1449 (4 pgs) and five (5) attached references.
- ☒ The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: September 4, 2003

By:

Karl Kenna
Reg. No. 45,445

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Mark S. Moriconi and Shelly Qian

Appl. No.: 09/767,610

Confirm. No.: 2724

Filed: January 22, 2001

Title: SYSTEM AND METHOD FOR MAINTAINING
SECURITY IN A DISTRIBUTED COMPUTER
NETWORK

PATENT APPLICATION

Art Unit: 2131

Examiner:

Customer No. 23910

POWER OF ATTORNEY BY ASSIGNEE UNDER 37 C.F.R. §§3.71, 3.73(c)

RECEIVED

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SEP 10 2003

Technology Center 2100

Sir:

The below-identified Assignee is the owner of the entire right, title and interest in the above-identified patent application by virtue of an assignment from the inventor(s).

_____ The Assignment was recorded in the United States Patent and Trademark Office at Reel
_____, Frames _____ - _____, or

✓
_____ A true copy of the Assignment is attached hereto, the original of which has been (or is
herewith) forwarded to the United States Patent and Trademark Office for recording.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Assignee hereby appoints Sheldon R. Meyer, Reg. 27,660, Karl Kenna, Reg. No. 45,445, and other attorneys and agents of FLIESLER DUBB MEYER & LOVEJOY LLP, Customer No. 23910, to prosecute this application and transact all business in the United States Patent & Trademark Office connected therewith; said appointment to be to the exclusion of the inventor(s) and the inventor's(s') attorney(s) in accordance with the provisions of 37 C.F.R. §3.71.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please address all correspondence to:
Sheldon R. Meyer, Esq.
FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, CA 94111-4156

Please direct all telephone calls to:
Karl Kenna
(415) 362-3800

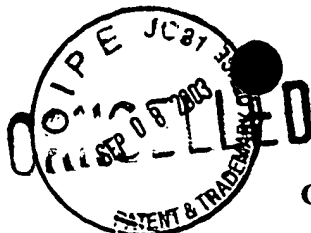
Assignee: _____ BEA Systems, Inc.

Assignee Type: (Corporation, Partnership, ...) _____ Corporation

Signor's Name: _____ Robert F. Donohue

Signor's Title: (Corporate Office or Position) _____ Senior VP, General Counsel

Signature: _____ Date: 8-19-03



COPY

**CORPORATE TO CORPORATE
ASSIGNMENT OF PATENTS, APPLICATIONS, AND INVENTIONS**

WHEREAS, CrossLogix, Inc., a corporation organized and existing under the laws of California and having a principal place of business at 2200 Bridge Parkway, Suite 203, Redwood Shores, California 94065 (hereinafter "ASSIGNOR"), is the owner of all right, title and interest in the following Letters Patents and applications therefor:

RECEIVED

SEP 10 2003

Title:	SYSTEM AND METHOD FOR MAINTAINING SECURITY IN A DISTRIBUTED COMPUTER NETWORK
Inventor(s):	Mark S. Moriconi and Shelly Qian
Application, SC/Serial No. or Patent No.:	6,158,010
Filed or Issued Date:	December 5, 2000
Attorney Docket No.:	BEAS-01453US0
PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORPORATE)	
Prior Assignment Date:	02/09/1999
Recording Data (Date/Reel/Frames):	Recorded 02/12/1999 at Reel/Frame 009783/0330
PRIOR ASSIGNMENT DATA (CHANGE OF NAME)	
Prior Assignment Date:	10/11/99
Recording Data (Date/Reel/Frames):	Recorded 10/10/2000 at Reel/Frame 011220/0814

WHEREAS, PATENT PROPERTY shall include each and all of the following:

(a) the foregoing Letters Patents and applications therefor and the inventions disclosed therein, and all embodiments of such inventions heretofore assigned to ASSIGNOR [all collectively hereinafter termed "said inventions"];

(b) all rights to apply in any and all countries of the world for patents, certificates of invention or other governmental grants on said inventions, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding;

(c) any and all applications filed and any and all patents, certificates of invention or other governmental grants granted on said inventions in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications;

(d) each and every reissue or extension of any of said patents;

(e) each and every patent claim resulting from a reexamination certificate for any and all of said patents, and

(f) the right to sue for and all claims for damages, profits or other recovery resulting from infringement, including past infringement, of any of the foregoing.

WHEREAS, BEA Systems, Inc., a corporation organized and existing under the laws of the State of Delaware and having a principal place of business at 2315 North First Street, San Jose, California 95131 (hereinafter "ASSIGNEE") is to acquire from ASSIGNOR the entire right, title and interest in and to said Patent Property.

NOW THEREFORE, for good and valuable consideration acknowledged by said ASSIGNOR to have been received in full from said ASSIGNEE:

1. ASSIGNOR hereby sells, assigns, transfers and otherwise conveys to ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns, the entire right, title and interest in and to the PATENT PROPERTY.

2. ASSIGNOR further agrees to execute and cause to be executed such additional instruments as may be necessary or desirable to confirm the transfer of rights as herein contemplated, to record the transfer of rights in the United States and throughout the world, and to permit ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns to enforce the PATENT PROPERTY.

IN WITNESS WHEREOF, ASSIGNOR has caused this instrument to be executed by its duly authorized corporate officer and delivered to ASSIGNEE this 16th day of July, 2003, in the State of California.

CrossLogix, Inc.

By: [Signature]

Name: Robert F. Donohue

Title: President

State of California

County of Santa Clara

(Renée T. Frias)

On July 16, 2003 before me, Renée T. Frias, Notary
(name and title of officer)

personally appeared Robert F. Donohue, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature Renée T. Frias

